



# ***Preparing Templated Silicon Surfaces for III-V Epitaxy***

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Major: Chemical Engineer

Oxnard College and Ventura College

Mentor: Daniel Pennachio

Faculty advisor: Dr. Palmstrøm

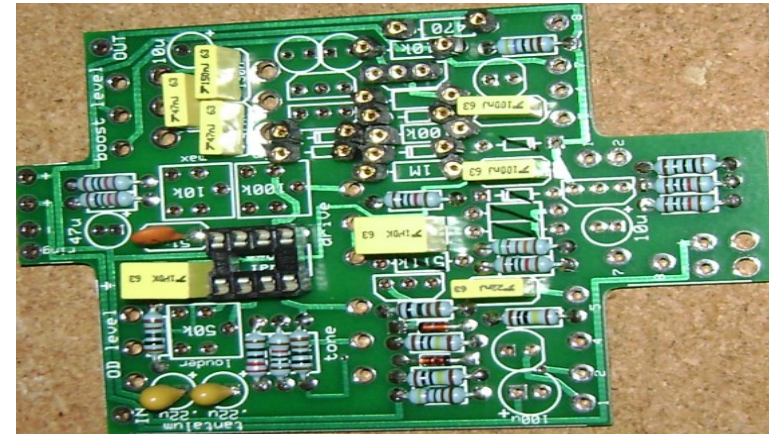


**Reducing waste energy during data transfer using photonics**

- Electrical wires produce heat
- Silicon is cheap and used in electronic devices
- Big problem: Silicon is an inefficient light emitter
- III-V materials used in lasers to emit light
- Solution: III-V material on Si



<http://tech.blorge.com/wp-content/uploads/2014/04/Samsung-Galaxy-S4-vs-iPhone-5.jpg>



[http://www.plyojump.com/classes/images/hardware/transistor\\_pcb.jpg](http://www.plyojump.com/classes/images/hardware/transistor_pcb.jpg)

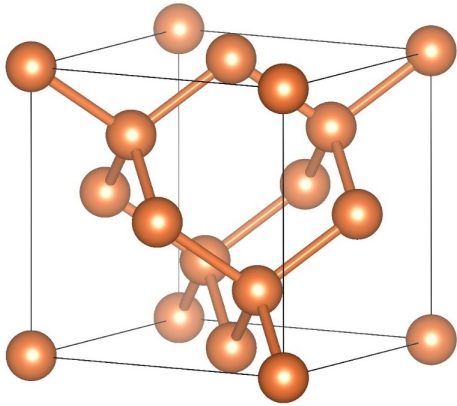


<https://www.semiwiki.com/forum/attachments/content/attachments/11601d1405647406-300mm-450mm-wafer-comparison-jpg>

**III-V materials**

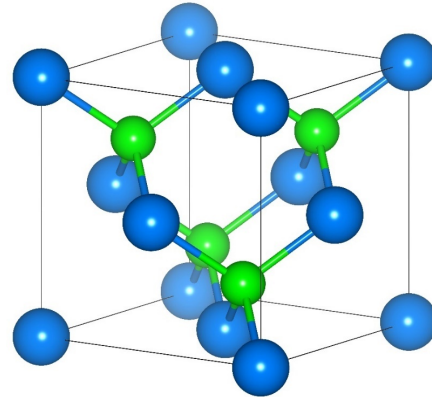
boron 5 <b>B</b> 10.811	carbon 6 <b>C</b> 12.011	nitrogen 7 <b>N</b> 14.007
aluminum 13 <b>Al</b> 26.982	silicon 14 <b>Si</b> 28.086	phosphorus 15 <b>P</b> 30.974
gallium 31 <b>Ga</b> 69.723	germanium 32 <b>Ge</b> 72.61	arsenic 33 <b>As</b> 74.922
indium 49 <b>In</b> 114.82	tin 50 <b>Sn</b> 118.71	antimony 51 <b>Sb</b> 121.76
thallium 81 <b>Tl</b> 204.38	lead 82 <b>Pb</b> 207.2	bismuth 83 <b>Bi</b> 208.98

<http://www.visiblediodelasers.com/wpcontent/uploads/laserdiode11.jpg>



Courtesy of Dan Pennachio

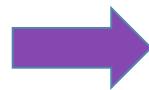
**Si:** diamond crystal structure

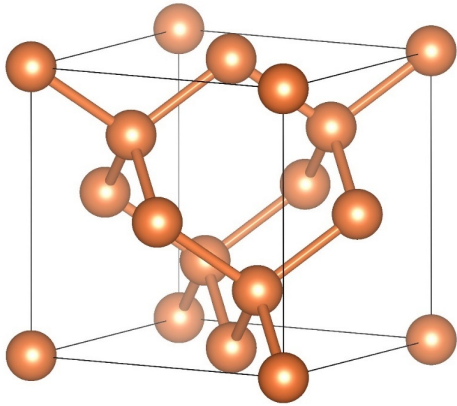


**III-V:** Zinc blende crystal structure

**Clean interface avoids defects on III-V crystals**

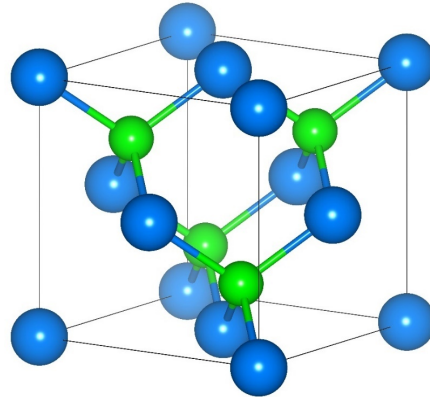
- Grow III-V material on top of the silicon
- Impurities on the silicon surface
- Selectively clean the wafer to remove the native oxide
- Growth III-V epitaxy on selected areas





Courtesy of  
Dan  
Pennachio

**Si:** diamond  
crystal  
structure



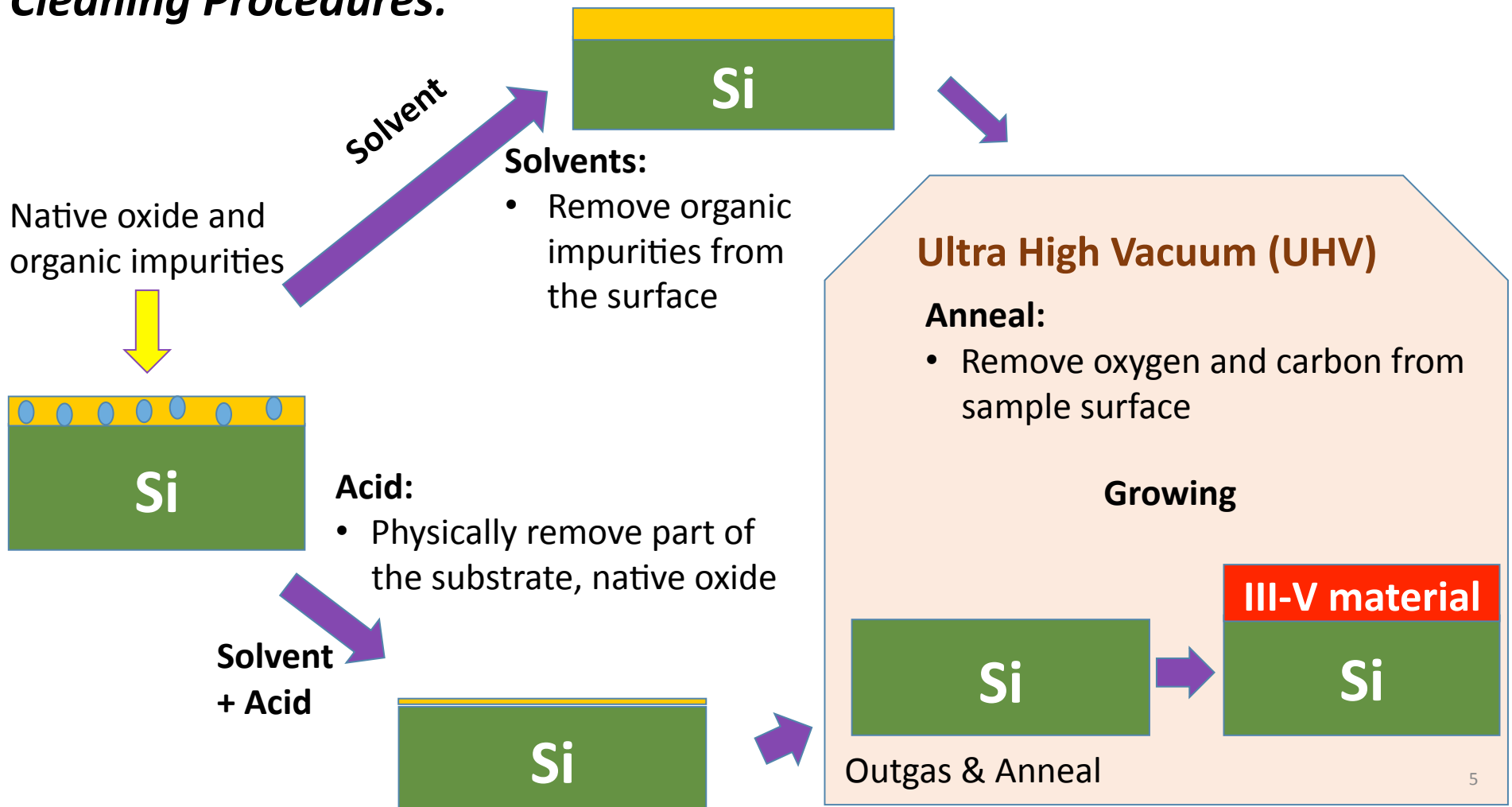
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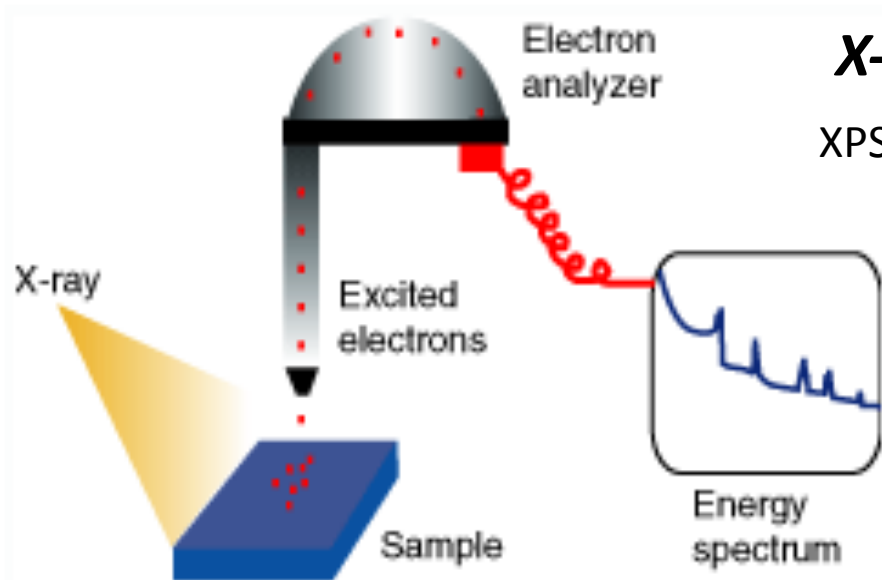


## Cleaning Procedures:

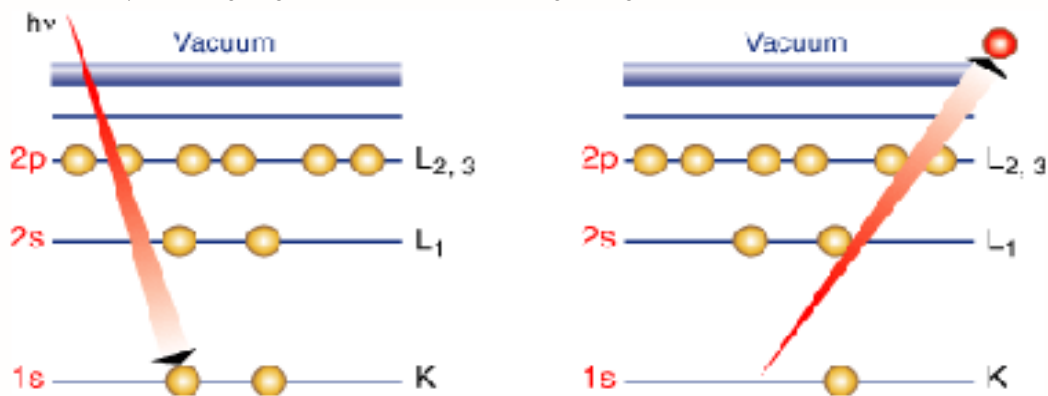


# X-ray Photoemission Spectroscopy (XPS)

XPS is conducted in ultrahigh vacuum (UHV)



<http://www.lanl.gov/orgs/nmt/nmtdo/AQarchive/04summer/gifs/XPS2.gif>



Total Energy

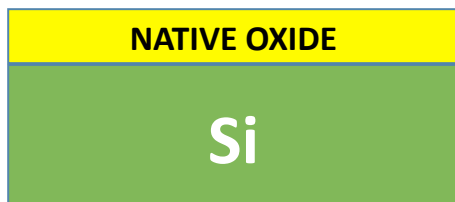
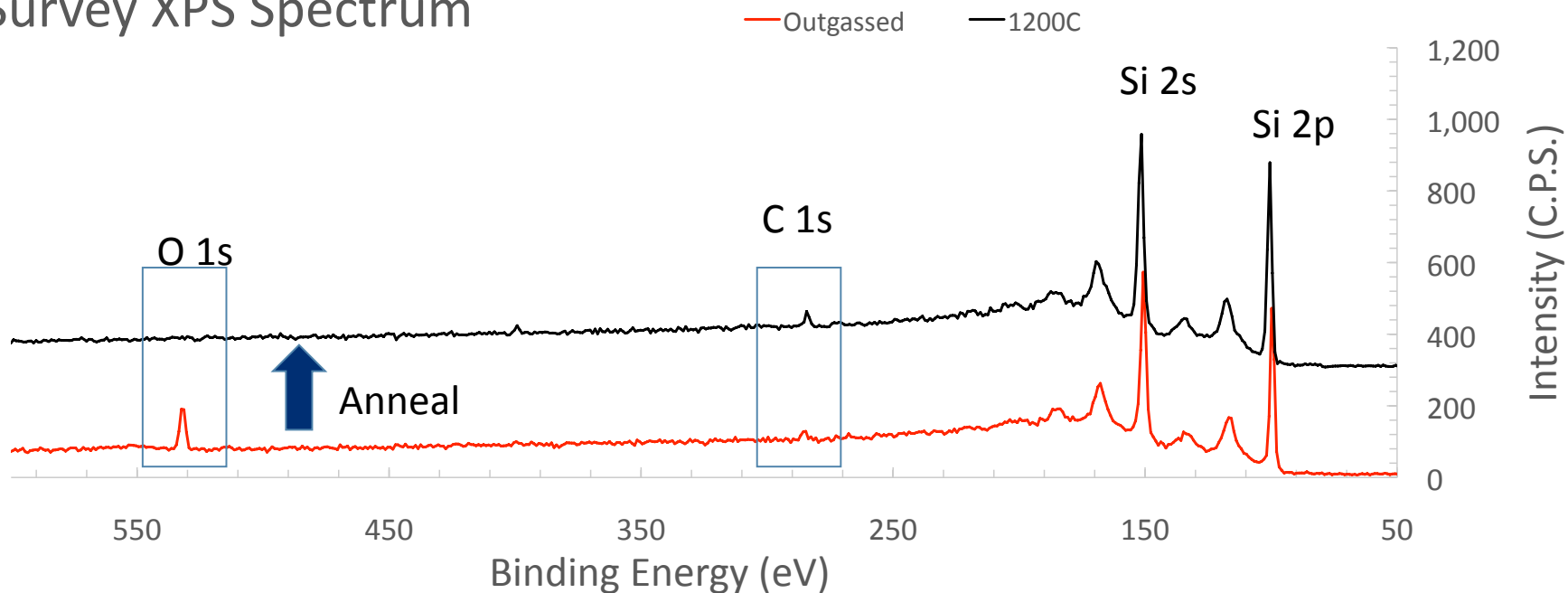
Binding Energy

$$E_{X\text{-ray}} - KE_{e^-} = BE$$

Kinetic Energy of the electron

<http://www.lanl.gov/orgs/nmt/nmtdo/AQarchive/04summer/XPS.html>

# Survey XPS Spectrum

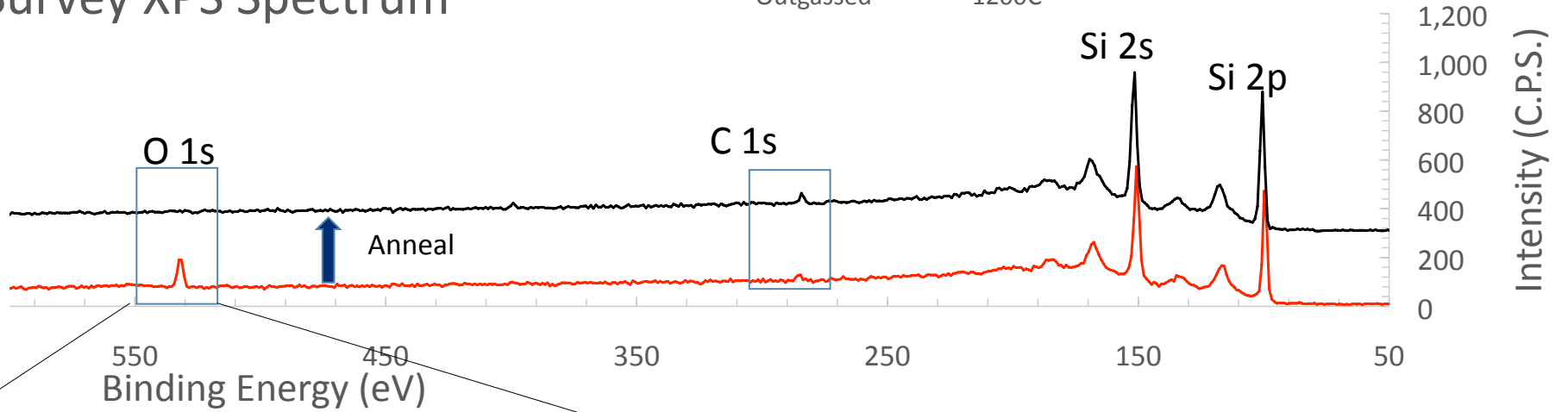


UHV Anneal  
1200°C



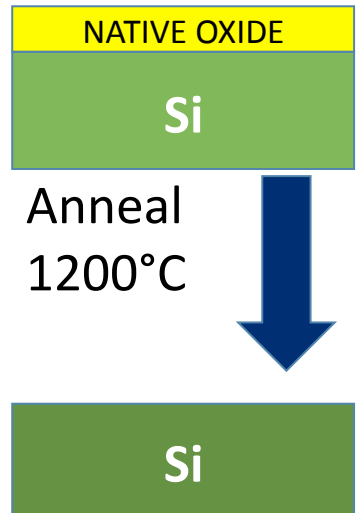
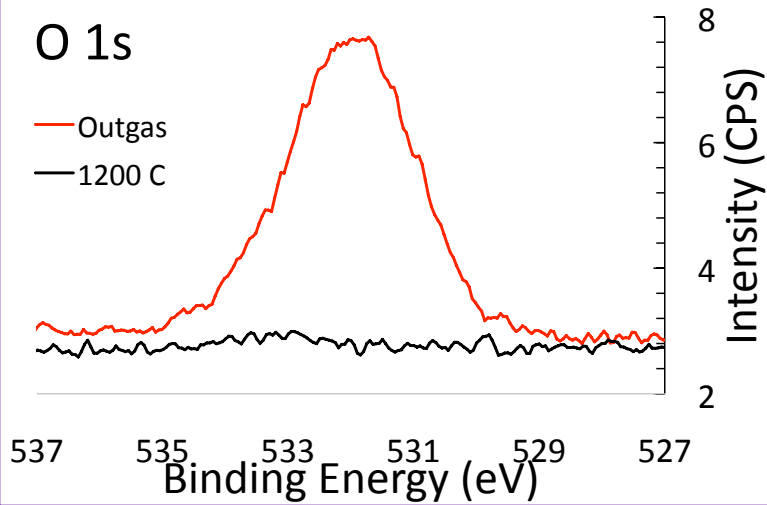
# Survey XPS Spectrum

— Outgassed — 1200C



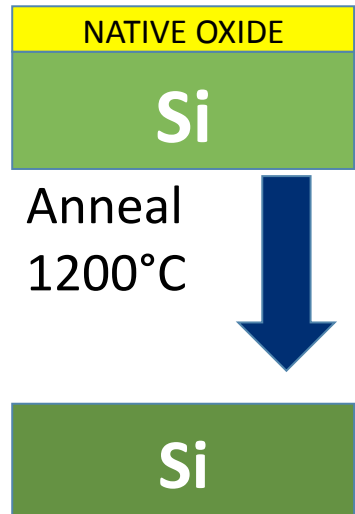
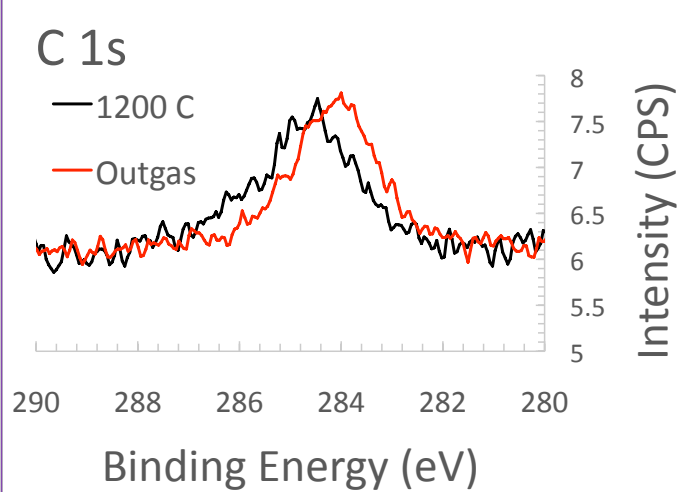
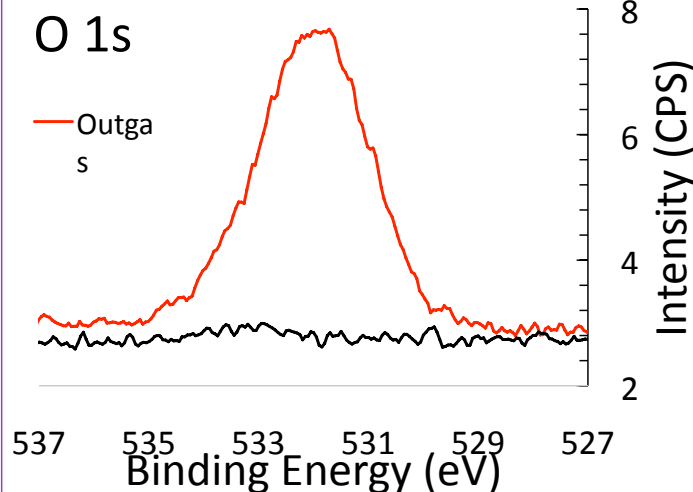
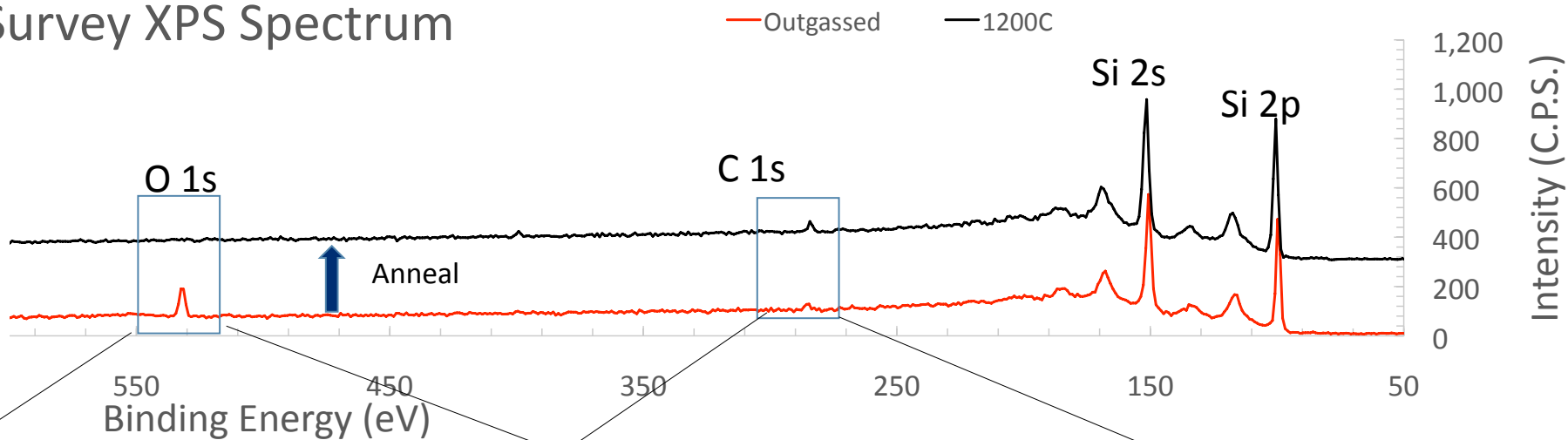
## O 1s

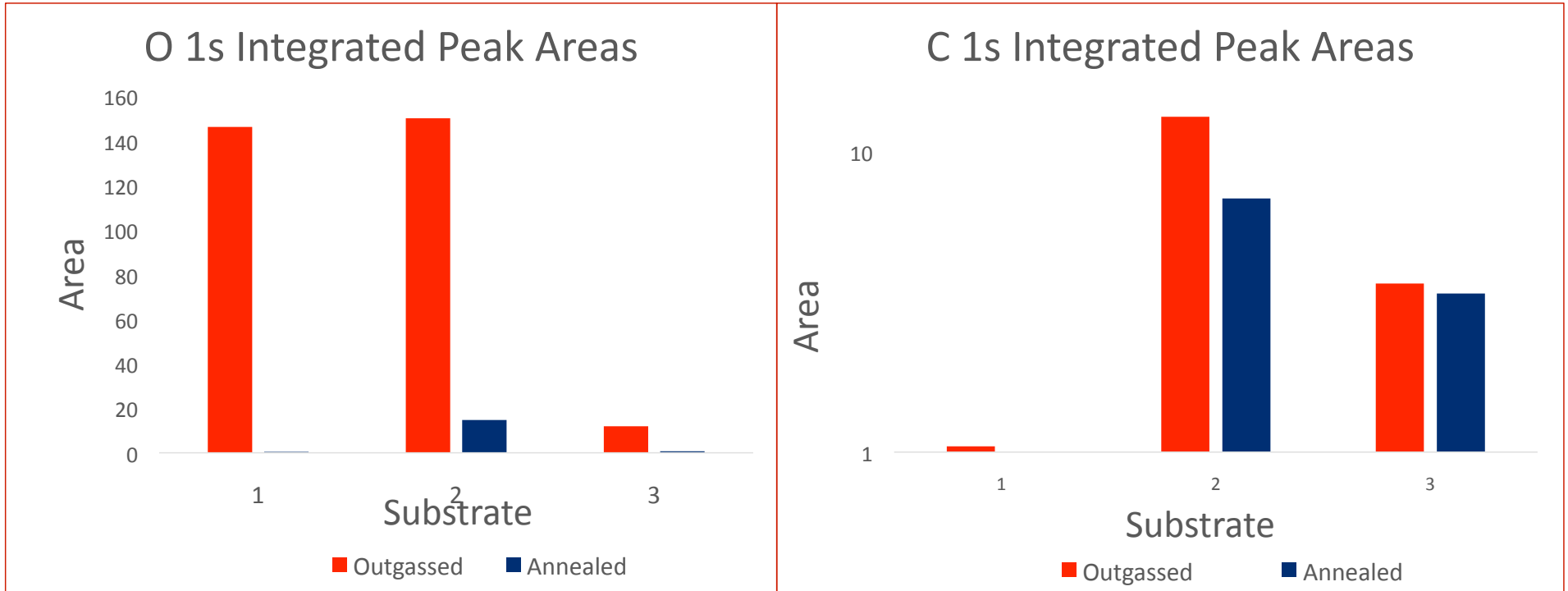
— Outgas — 1200 C





# Survey XPS Spectrum





**For all samples in UHV**

- ❖ *Outgas: ~300°C overnight*
- ❖ *Anneal: 1200°C 5 minutes*

**Substrate 1**

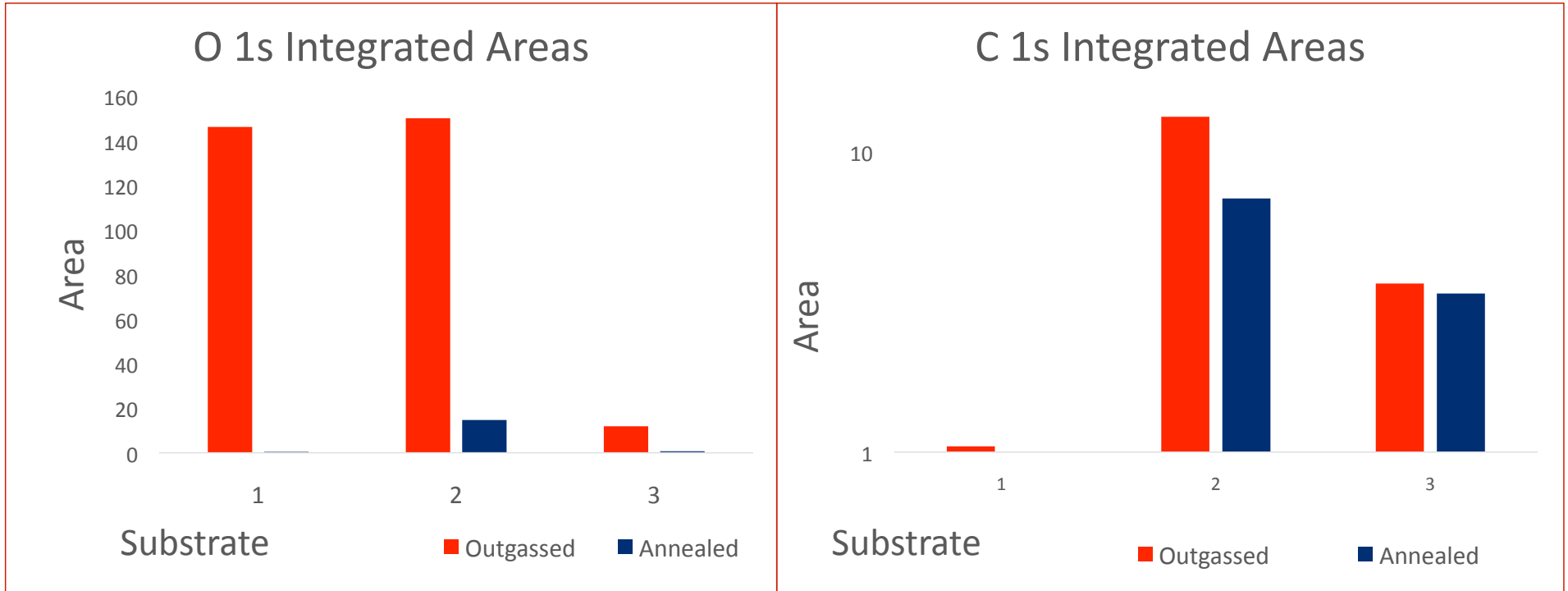
- ❖ Solvent

**Substrate 2**

- ❖ Photolithography
- ❖ Acid
- ❖ Solvent

**Substrate 3**

- ❖ Solvent
- ❖ acid

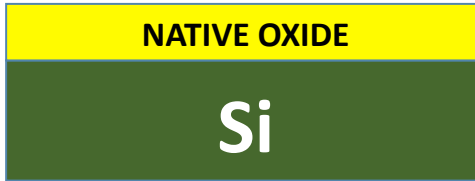


**Substrate 1**  
❖ Solvent

**Substrate 2**  
❖ Photolithography  
❖ Acid  
❖ Solvent

**Substrate 3**  
❖ Solvent  
❖ acid

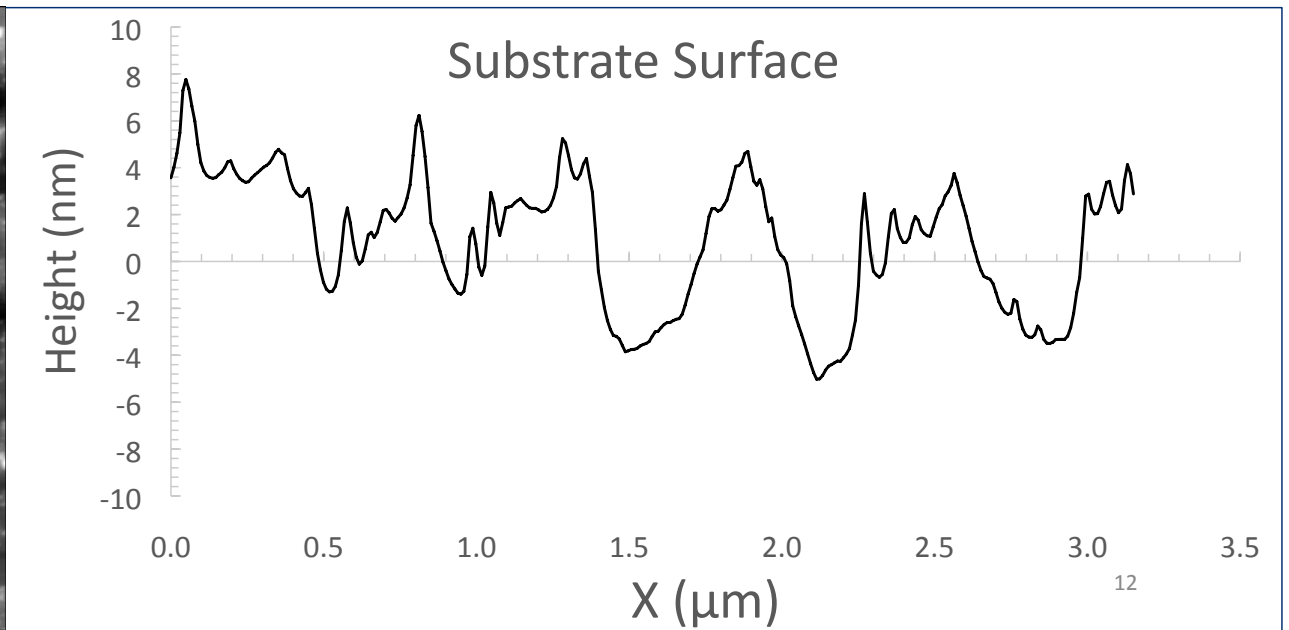
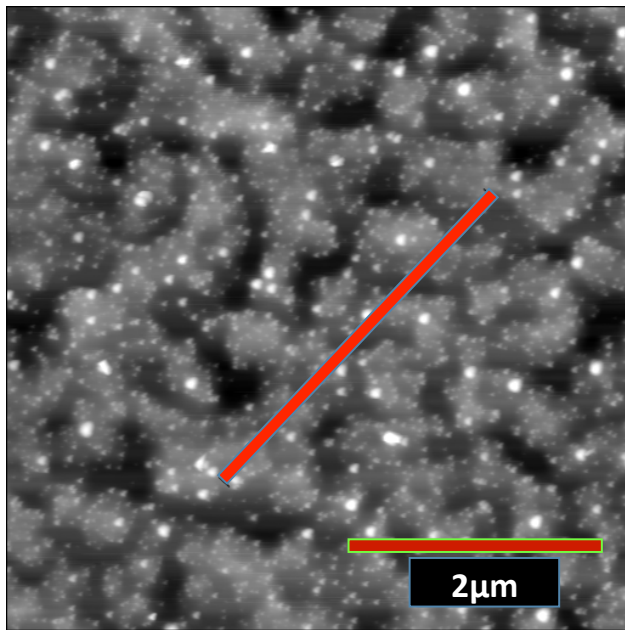
# Atomic Force Microscope (AFM)

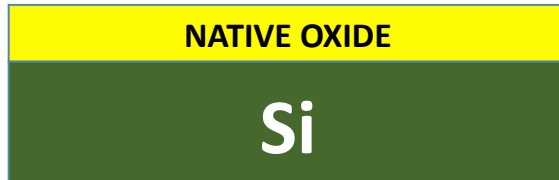


**Substrate 1**

- ❖ Solvent
- ❖ Outgas
- ❖ Anneal 1200°C

Topographic image of the surface

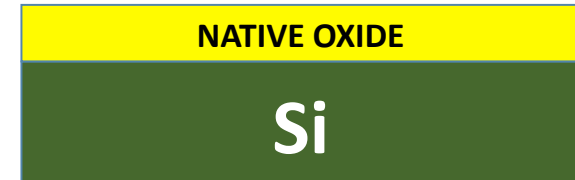




**Substrate 1**

- ❖ Solvent
- ❖ Outgas
- ❖ Anneal 1200°C

- Anneal roughened the surface
- Silicon carbide (SiC) formed by incomplete carbon removal.



**Low Temperature Substrate**

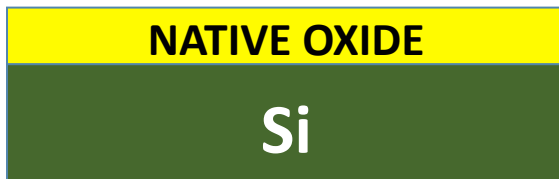
- ❖ Solvent
- ❖ Outgas
- ❖ Anneal 950°C

- Lowering anneal to 950°C
- What is going to happen to the surface?

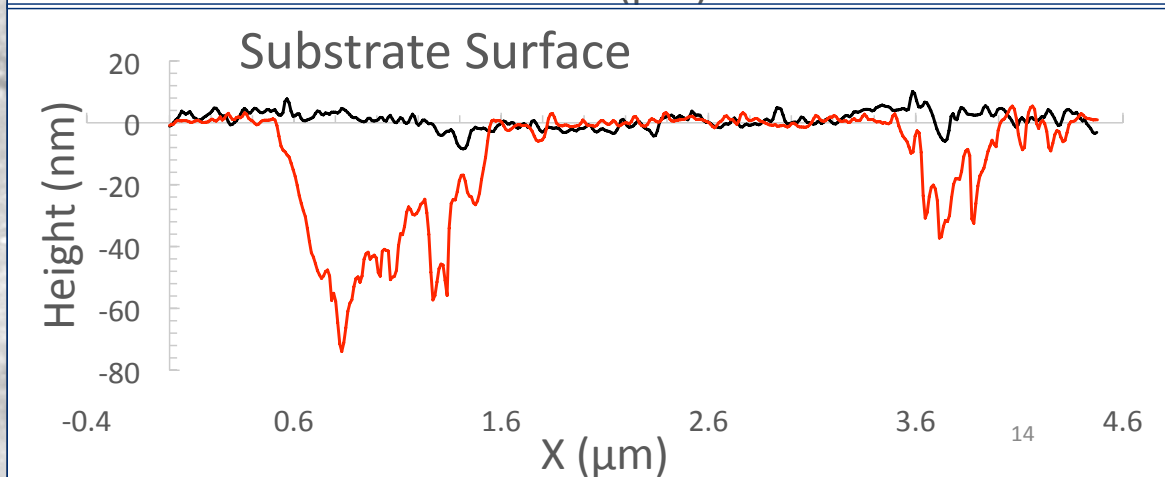
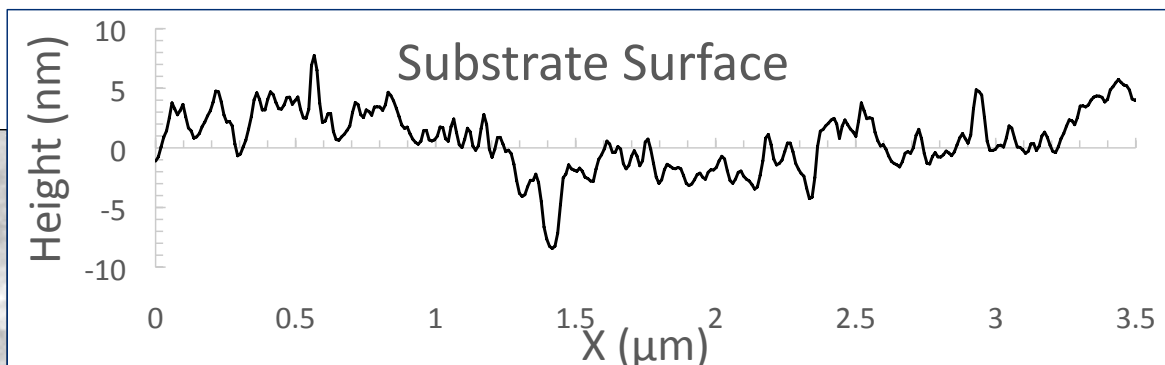
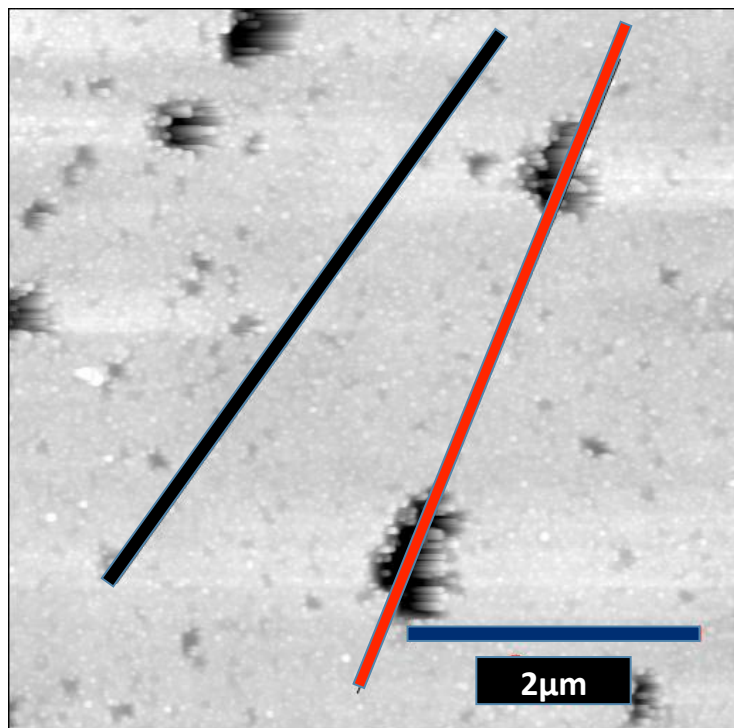


Low Temperature  
Substrate

- ❖ Solvent
- ❖ Outgas
- ❖ Anneal 950°C

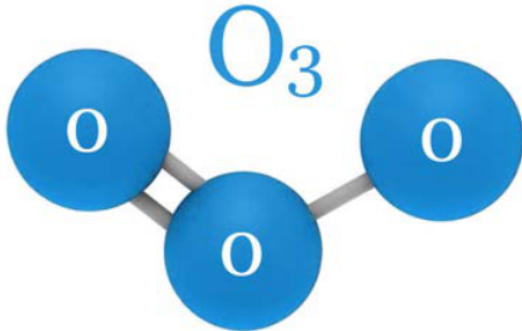


Minimize defects on the surface



## Future plans:

- Find the correct time and temperature for anneal to avoid surface roughening
- Cleaning substrates with ozone before outgassing to remove carbon
- Repeat ozone ad remove oxide multiple times to completely remove carbon



<http://www.resonateintowellness.com/wp-content/uploads/2016/02/ozone.png>

## Acknowledgements:

